

Paper No. 2

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PAT. & T.M. OFFICE BOARD OF PATENT APPEALS AND INTERFERENCES

# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: BOX INTERFERENCE

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

Filed by: Michael Sofocleous Telephone: (703) 308-9823 Facsimile: (703) 308-7953

Interference No. 104,046

Kishore

v.

Burrell et al.

INTERLOCUTORY ORDER NO. 1 SETTING TIMES FOR FILING PRELIMINARY STATEMENTS AND MOTIONS AND REQUIREMENTS FOR PRELIMINARY MOTIONS

This interference has been assigned to the undersigned Administrative Patent Judge (APJ) in accordance with 37 CFR § 1.610. All future papers filed in this interference should be captioned to include this information. To secure the just, speedy and inexpensive determination of this interference in accordance with 37 CFR § 1.601, the APJ is issuing the following requirements for taking action in this interference.

### Ouestions of Procedure

Any questions regarding procedure in this interference should be directed to the undersigned APJ or to a Program and Resource Administrator. Any telephone communication with the undersigned <u>must</u> should normally include the participation of both parties, for example, via a conference call. A telephone communication for the purpose of requesting an extension of the times set need not include the participation of the opposing party as long as the party is informed of the communication.

# Identification of Lead Attorney or Agent

Each party is required to file a paper in accordance with 37 CFR § 1.613 identifying its lead attorney or agent (see 37 CFR § 1.601(k)). Future changes in the lead attorney or agent must likewise be called to the attention of this board as soon as is reasonably possible. No contact should be made with the undersigned by anyone other than the lead attorneys or agents.

## Identification of Assignee

The parties' attention is directed to the requirements of 37 CFR § 1.602(b) and (c). 37 CFR § 1.602(b) requires that the parties notify the Board within 20 days after declaration of "any and all right, title and interest in any application or patent involved or relied upon in the interference unless the right, title and interest is set forth in the notice declaring the title and interest is set forth in the notice declaring the interference." See 37 CFR § 1.602(c) for any change of any right, title and interest in any application or patent involved or relied upon in the interference.

Note also that under new rules 37 CFR §§ 3.71 and 3.73, when an assignee seeks to appoint an attorney or take other action, such as filing a terminal disclaimer, it is up to the assignee to establish ownership and to submit a statement certifying that title is in the assignee.

### Box Interference

There has been confusion regarding the use of the "BOX INTERFERENCE" requirement of 37 CFR § 1.1(e) in the filing of papers. Unless the paper itself is hand carried to the Service Branch of the Board of Patent Appeals and Interferences, located in Room 10C01 of Crystal Gateway 2 (1225 Jefferson Davis Highway, in Room, VA), the designation "BOX INTERFERENCE" must be on the Arlington, VA), the designation the paper as well as on the outside of the envelope containing the paper as well as on the paper itself. Merely hand carrying a paper to the PTO Mail Room does not suffice.

#### Explanation of Each Party's Invention

The technical background of the judge assigned to this interference is chemistry. It would be helpful if each party would file a double spaced "paper" of no more than eight (8) pages (including heading and signature block, but not certificate of service) which explains the party's invention in plain English using as technical terms as reasonably possible. Each party is authorized to file, a "response" of no more than five (5) pages (including heading and signature block, but not certificate of service) to an opponent's "paper," again using as few technical terms as reasonably possible. A response should be filed within 15 days after the date of service of the "paper." The "papers" and "responses" should prove useful in further proceedings before the PTO and/or other forums.

# Additional Requirements with Respect to Motions under 37 CFR § 1.633(c) and (i)

Each preliminary motion to redefine under 37 CFR § 1.633(c) or (i) must be accompanied by a certificate under 37 CFR § 1.637(b), stating that the moving party has conferred with each opposing party in an effort in good faith to resolve by agreement the issues raised by the motion. If an agreement cannot be reached, the certificate will state that all the reasons and facts in support of the motion were discussed with each opponent, identify the issues and/or facts in dispute and state the reasons why each opposing party disagrees.

Each preliminary motion under 37 CFR § 1.633(c) shall contain an appendix which sets forth proposed count(s) and proposed claims. The proposed counts are to be labeled as follows:

NAME OF THE PARTY IN CAPITAL LETTERS PROPOSED COUNT A, B, etc.

### Contingent Motion under 37 CFR § 1.633(i)

In response to a motion under 37 CFR § 1.633(a), (b), or (g), a party may file a motion under 37 CFR § 1.633(i) to

redefine under 37 CFR § 1.633(c), to substitute a new application under 37 CFR § 1.633(d), or a motion to add a reissue application under 37 CFR § 1.633(h). The party filing the motion under 37 CFR § 1.633(i) must show a nexus between the motion filed under 37 CFR § 1.633(i) and the motion under 37 CFR § 1.633(a), under 37 CFR § 1.633(i) and the motion under 37 CFR § 1.633.

The filing of a motion under 37 CFR § 1.633(i) does not reopen the motion period to the filing of additional motions under 37 CFR § 1.633(a) to (e) or (g) to (i).

# Title and Numbering of Preliminary Motions, etc.

The parties are requested to use titles for preliminary motions, oppositions, and replies, i.e.,

SMITH PRELIMINARY MOTION NO. 1 FOR JUDGMENT....

JONES OPPOSITION NO. 1 TO SMITH PRELIMINARY MOTION....

SMITH REPLY NO. 1 TO JONES OPPOSITION NO. 1....

# "Combined" Oppositions and Replies not to be Filed

An opposition shall not contain a motion and shall respond to only a single preliminary motion; so-called "combined" oppositions responding to more than one preliminary motion shall not be filed.

A reply shall be directed only to new points raised in the opposition. See 37 CFR § 1.638(b). A reply shall not contain a motion and shall respond to only a single opposition; so-called "combined" replies to more than one opposition shall not be filed.

### Incorporation by Reference

No motion, opposition, or reply may incorporate therein by reference another motion, opposition, or reply.

# Prohibition Against Presenting Duplicate Papers

When presenting a paper in this interference, counsel shall not submit with the paper (as an appendix, exhibit, or otherwise) a copy of a paper previously filed in the interference (37 CFR § 1.618(b)).

# Time and Manner of Filing Documentary Exhibits and Affidavits

Documentary exhibits (patents, printed publications, etc.) referred to in preliminary motions, oppositions, or replies should be identified by documentary exhibit numbers (not letters) on a label placed in the lower right-hand corner of the first page of the exhibit. Compare 37 CFR § 1.653(i). If important material is covered by an exhibit label on the first page of the exhibit, a copy of the first page of the exhibit may be reproduced and presented as page 1-a of the exhibit. Documentary exhibits should be labeled as follows:

Documentary Exhibit 1
NAME OF PARTY IN CAPITAL LETTERS
Interference No. \_\_\_\_\_\_\_

Affidavits referred to in preliminary motions, oppositions, or replies should be identified by exhibit numbers (not letters) on a label placed in the lower right-hand corner of the first page of the exhibit. Compare 37 CFR § 1.653(i). Affidavits should be labeled as follows:

Affidavit Exhibit 1
NAME OF PARTY IN CAPITAL LETTERS
Interference No. \_\_\_\_\_\_\_\_

The documentary exhibits should be bound together in numerical order in one or more spiral bound volumes as necessary. The affidavit exhibits should also be bound together in numerical order in a separate spiral bound volume. Each preliminary motion, opposition, or reply shall refer to a documentary or affidavit exhibit by number; each affidavit shall refer to a documentary exhibit by number. The purpose of this requirement

is to avoid the filing of multiple copies of the same documentary or affidavit exhibit merely because the exhibit is referred to in more than one preliminary motion, opposition, or reply.

A documentary or affidavit exhibit mentioned in preliminary motions, oppositions, or replies shall be served (but not filed) with the preliminary motion, opposition, or reply in which the exhibit is first mentioned, and all documentary and affidavit exhibits mentioned in preliminary motions, oppositions, or replies shall be filed in the PTO on the date that replies to oppositions to motions are due.

# Clean Copy of Each Party's Claims, Proposed Claims and Proposed Counts

Each party is required to file a copy of its claims designated in the notice declaring this interference as corresponding to the count, a copy of each claim proposed to be added to this interference, and a copy of each count proposed in any preliminary motion filed by the party. The required copy must be filed on the date that replies to oppositions to motions are due. The copy can be incorporated in a separate volume or in the volume containing the documentary exhibits.

#### Paper Size

All papers (e.g., identification of lead counsel, notifications, requests, motions, oppositions, etc.) filed in this interference shall be on 8-1/2 inch x 11 inch paper (with the possible exception of original exhibits); papers of a different size, including legal size papers, shall not be filed; all papers shall be punched at the top with two-1/4 inch holes spaced 2-3/4 inches apart.

#### SETTLEMENT

The parties are strongly encouraged to make contact with each other at the time that they identify their respective lead attorneys or agents in an attempt to settle this interference. The APJ can be expected to cooperate in allowing reasonable time

for a <u>bona fide</u> attempt at settlement negotiations, which will obviate the necessity for filing preliminary motions and will result in the filing of an appropriate termination paper under 37 CFR § 1.662.

## Setting of Times for Taking Action in this Interference

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l.	For	identifying	Tega	accorney	OI	agene		<b>Q</b> 2000				

- 3. For filing preliminary statements to close 04 FEB 1998
- 4. For serving preliminary statements to close 18 FEB 1998
- 5. For filing preliminary motions under 37 CFR § 1.633(a) to (g) to close 04 APR 1998
- 6. For filing preliminary motions under 37 CFR § 1.633(i) or (j) to close 24 APR 1998.
- 7. For filing oppositions to preliminary motions filed under 37 CFR § 1.633 to close **14 MAY 1998**
- 8. For filing replies to oppositions to preliminary motions to close 29 MAY 1998

MICHAEL SOFOCLEOUS

Administrative Patent Judge Telephone: (703) 308-9823

Facsimile: (703) 308-7952

MS:clm

, NOV. 7,1997 9:15AM KANE DALSTILL

Interference No. 104,046

Attorney for Burrell et al.:

Joseph T. Eisele 711 Third Ave. New York, NY 10017

Attorney for Kishore:

Grace L. Bonner
MONSANTO COMPANY - BB4 F
700 Chesterfield Parkway North
St. Louis, MO 63198

NOV. 7.1997 9:150	AM .KANE DALSIMERLE	TIVITIAL IVIEIVIUM	MINDUIN	een the following cases
ROARD OF PATENT AP	PEALS AND - ONTERFERED  This int	rerference involves 3	partie )	con the ronoving tases.
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Mishore, G.	08/120,703	9/13/93	State of the second	
If application has been patented.	have maintenance fees been paid?	YecNo	Maintenance fees not	due yet
* Accorded the benefit of:	APPLICATION NO.	FILING DATE	PATENT NO., IF ANY	ISSUE DATE, IF ANY
U.S.	07/709,663	6/7/9/	IVI/	
		6/18/90		
U.S.	07/539,763	6//3/20	NOV	0 4 1997.
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Staker et al	1. 108/016,881	2/11/93	<u> </u>	<u> </u>
If application has been patented,	have maintenance fees been paid?	YesNo \	Maintenance fees not	due yet
COUNTRY	APPLICATION NO.	PHLING DATE	RATENT NO., IF ANY	ISSUE DATE, IF ANY
U,S.	07/735.065	7/24/91	5 349,123	9/20/94
k.s.	08/731,226	7/16/94		)
U. S.	07/638 383	12/21/90		
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1.601(f), (n); 1.609(b)  3. For each party, identify  CFR 1.609(b)(3)).  4. Forward all files inclusions.	y the patentable (or patented) (2)).  y the patentable (or patented)  y the patentable (or patented)  ding those the benefit of which erference Initial Memorandur	and unparentable (pendi	ng) claims which do no	ot correspond to the count (37
	I information requested be	low must be attached or	n (a) separate typewri	itten sheet(s).
<ul> <li>6. On a separate sheet, s as this count, please in</li> <li>7. For each claim design invention as the count</li> <li>8. For each claim design</li> </ul>	et forth a single proposed intendicate the party, application tated as corresponding to the (37 CFR 1.609(b)(2)).  That is a single proposed intendication to the corresponding to the corresponding to	erference count. If any clion patent number, and the count, provide an explant the count, provide an exp	aim of any party is exact e claim number, ation of why each claim	defines the same patentable
9. For each additional o	from the count (37 CFR 1:60) ount, if any, repeat steps 2-6 a	9(b)(3). and, additionally, provide	an explanation why ea	
Separate patentable il	ivention from every other cou	m (37 CPR 1.003(0)(1):	·	
DATE 9/4/97	PRIMARY EXAMINER (Signature)	TELEPHON	E NO. 1280	ART UNIT
DATE .	GROUP DIRECTOR SIGNATURE (if	required)		

\*The application number and filing date of each application the benefit of which is intended to be accorded must be listed. It is not sufficient to merely list the ear application if there are intervening applications necessary for continuity.

THIS PAGE CAN BE DUPLICATED IF THERE ARE MORE THAN TWO INTERFERING PARTIE:

Page \_\_ of \_\_

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PARTY. O 9E 1	APPLICATION NO.	FILING DATE	PATENT NO. IF ANY	ISSUE DATE IF ANY
Burrell et al	08/284,199	8/2/94		
If application has been patented. have m	naintenance feed been paid?	YesNo	Maintenance fees not	due yet
* Accorded the benefit of:		FILING DATE	PATENT NO., IF ANY	ISSUE DATE, IF ANY
U.S.	07/991,451	12/16/92	5,387,756	2/7/95
U.S.	67/628,216	12/17/90		
Great Britain	8928937-5	12/21/8	?	
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\*\*The application number and filing date of each application the benefit of which is intended to be accorded must be listed. It is not sufficient to merely list the ea application if there are intervening applications necessary for continuity.

Attachment to PTO-850:

### Item 6: Proposed interference count:

Claim 2 of Kishore (08/120,703) corresponds exactly to the proposed interference count.

## Item 7: Explanation for claims corresponding to the proposed count:

Claims 2, 4-6, 8, 10, 12-15, 21-22, 28, 30-32, 36, 38-42 and 44-55 of Kishore (application Serial No. 08/120,703) correspond to the proposed interference count since they are either drawn to the same method of the count, or are drawn to the DNA sequence used in that method, or to plant cells and plants produced by that method; wherein that method would have been an obvious use of that DNA sequence, and wherein the plant cells and plants would have been obvious products of that method.

Claims 54-58 of Stalker et al (application Serial No. 08/016,881) correspond to the proposed interference count since they are drawn to the same DNA sequence used in the method of the count, wherein that method would have been an obvious use of the DNA sequence.

Claims 2-4, 7-8, 13-16, 20-21, 34 and 43 of Burrell et al (application Serial No. 08/284,199) correspond to the proposed interference count since it would have been obvious to one of ordinary skill in the art to utilize the method for transforming a plant with DNA encoding a plastid transit peptide and adenosine diphosphoglucose pyrophosphorylase (ADPGPPi) for modified starch production of the count, to obtain the method for transforming a

plant with DNA encoding ADPGPPi for modified starch production of claims 2-4, 7-8 and 34 of Burrell et al, and to obtain the resultant transformed plants containing the gene of claims 13-16, 20-21 and 43 of Burrell et al. The use of the transit peptide would have been an obvious variation to one of ordinary skill in the art, given the knowledge of those of ordinary skill in the art that starch synthesis occurs in the plastid.

# Item 8: Explanation for claims not corresponding to the count:

Claims 31 and 39 of Burrell et al (08/284,199) do not correspond to the count because claim 31 is drawn to a method involving a different structural gene encoding a different enzyme, phosphofructokinase, not involved in the count; and claim 39 is drawn to a plant transformed with the divergent structural gene not involved in the count.

DAVID T. FOX
PRIMARY EXAMINER
GROUP 180

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	Count of 104046
,	named plants which have
<u> </u>	(Twice Amended) A method of producing genetically transformed plants which have
e.	levated starch content, comprising the steps of:
J.	levated starch content, comprising the steps of:  (a) inserting into the genome of a plant cell a recombinant, double-stranded
$\wedge$	- · · · · · · · · · · · · · · · · · · ·
	(i) a promoter which functions in plants to cause the production
کسید	of an RNA sequence in the target plant tissues,
	(ii) a structural DNA sequence that causes the production of an
	(ii) a structural DNA sequence which encodes a fusion polypeptide comprising
· &	an amino-terminal plastid transit peptide and a [deregulated]
*	foreign ADPglucose pyrophosphorylase enzyme,
	foreign ADPglucose pyrophosphotylees  (iii) a 3' non-translated DNA sequence which functions in plant
	(iii) a 3' non-translated DNA sequence which and the addition of cells to cause transcriptional termination and the addition of
	cells to cause transcriptional termination and of the RNA sequence;
	polyadenylated nucleotides to the 3' end of the RNA sequence;
. (	(b) obtaining transformed plant cells; and
	(b) obtaining transformed plant cells genetically transformed (c) regenerating from the transformed plant cells genetically transformed
	plants which have an elevated starch content.
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PAT. & T.M. OFFICE BOARD OF PATENT APPEALS AND INTERFERENCES Paper No. 19

# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: BOX INTERFERENCE

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

Filed by: Michael Sofocleous Telephone: (703) 308-9823 Facsimile: (703) 308-7953

Applicants: Burrell et al.

Serial No.: 08/284,199

Filed: 08/02/94
For: MODIFICATION OF PLANT

METABOLISM

Accorded Benefit of: U.S. Serial Nos. 07/991,451, filed 12/16/92, now Patent No. 5,387,756, issued 02/07/95; and 07/628,216, filed 12/17/90, now abandoned; and Great Britain patent applications 8928937.5, filed 12/21/89 and 90 14988.1, filed 07/06/90

This case referred to above has been forwarded to the Board of Patent Appeals and Interferences because it is adjudged to interference with other cases hereafter specified. Attention is directed to the fact that this interference is declared pursuant to 37 CFR § 1.601 et seq., effective February 11, 1985 (49 Fed. Reg. 48,416 (1984); 1050 Off. Gaz. Pat. & Trademark Office 385 (Jan. 29, 1985)), and amendments effective April 21, 1995. See (Jan. 29, 1985)), and amendments effective April 21, 1995. A 1173 Off. Gaz. Pat. & Trademark Office 36 (Apr. 11, 1995). A clean copy of the interference rules, as amended, appears at 1173 Off. Gaz. Pat. & Trademark Office 384 (Apr. 18, 1995). The interference is designated as No. 104,046.

By direction of the Commissioner of Patents and Trademarks and as required by 35 U.S.C. § 135(c), notice is hereby given the parties of the requirement of the law for filing in the Patent and Trademark Office a copy of any agreement "in connection with or in contemplation of the termination of the interference."

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Serial No. 08/284,199

The cases involved in this interference are:

#### Junior Party

Ganesh M. Kishore Applicant:

15354 Grantley Dr.; Chesterfield, MO 63017 Address:

08/120,703, filed 09/13/93 Serial No.:

INCREASED STARCH CONTENT IN PLANTS For:

None Assignee:

Dennis R. Hoerner, Jr., Thomas P. McBride, Attorneys of Record:

Howard C. Stanley and James C. Bolding

Grace L. Bonner Associate Attorney:

U.S. Serial Nos. 07/709,663, filed Accorded Benefit of:

06/07/91 and 07/539,763, filed 06/18/90,

now abandoned

Grace L. Bonner Address:

MONSANTO COMPANY - BB4 F

700 Chesterfield Parkway North

St. Louis, MO 63198

#### Senior Party

Applicants: Michael M. Burrell and Keith S. Blundy

c/o Advanced Technologies (Cambridge) Limited, Cambridge Science Park; Cambridge, England Addresses:

(Respectively)

08/284,199, filed 08/02/94 Serial No.:

MODIFICATION OF PLANT METABOLISM For:

Advanced Technologies (Cambridge) Limited, Cambridge Assignee:

Science Park; Cambridge, England

David S. Kane, Daniel H. Kane, Attorneys of Record:

Joseph C. Sullivan, John Kurucz, Charles P. Bauer, Gerald Levy,

Joseph T. Eisele, Ronald R. Santucci, Patrick J. Birde and Tiberju Weisz

Associate Attorney: None

U.S. Serial Nos. 07/991,451, filed Accorded Benefit of:

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90 14988.1, filed 07/06/90

Joseph T. Eisele Address: 711 Third Ave.

New York, NY 10017

#### Count 1

A method of producing genetically transformed plants which have elevated starch content, comprising the steps of:

- (a) inserting into the genome of a plant cell a recombinant, double-stranded DNA molecule comprising
  - a promoter which functions in plants to cause the production of an RNA (i) sequence in the target plant tissues,
  - a structural DNA sequence that causes (ii) the production of an RNA sequence which encodes a fusion polypeptide comprising an amino-terminal plastid transit peptide and a foreign ADP glucose pyrophosphorylase enzyme,

- (iii) a 3' non-translated DNA sequence which functions in plant cells to cause transcriptional termination and the addition of polyadenylated nucleotides to the 3' end of the RNA sequence;
- (b) obtaining transformed plant cells; and
- (c) regenerating from the transformed plant cells genetically transformed plants which have an elevated starch content.

The claims of the parties which correspond to this

#### count are:

Burrell et al.: Claims 2-4, 7, 8, 13-16, 20, 21, 34

and 43

Kishore:

Claims 2, 4-6, 8, 10, 12-15, 21, 22,

28, 30-32, 36, 38-42 and 44-55

MICHAEL SOFOCLEOUS

Administrative Patent Judge

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Joseph T. Eisele 711 Third Ave. New York, NY 10017

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#### Paper No. 19

## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

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The cases involved in this interference are:

#### Junior Party

Applicant: Ganesh M. Kishore

Address: 15354 Grantley Dr.; Chesterfield, MO 63017

Serial No.: 08/120,703, filed 09/13/93

For: INCREASED STARCH CONTENT IN PLANTS

Assignee: None

Attorneys of Record: Dennis R. Hoerner, Jr., Thomas P. McBride,

Howard C. Stanley and James C. Bolding

Associate Attorney: Grace L. Bonner

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#### Senior Party

Applicants: Michael M. Burrell and Keith S. Blundy

Addresses: c/o Advanced Technologies (Cambridge) Limited,

Cambridge Science Park; Cambridge, England

(Respectively)

Serial No.: 08/284,199, filed 08/02/94

For: MODIFICATION OF PLANT METABOLISM

Assignee: Advanced Technologies (Cambridge) Limited, Cambridge

Science Park; Cambridge, England

Attorneys of Record: David S. Kane, Daniel H. Kane,

Joseph C. Sullivan, John Kurucz, Charles P. Bauer, Gerald Levy,

Joseph T. Eisele, Ronald R. Santucci, Patrick J. Birde and Tiberiu Weisz

Associate Attorney: None

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